

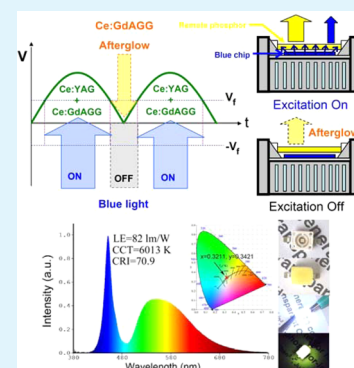
Phosphor-in-Glass for High-Powered Remote-Type White AC-LED

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Supporting Information

ABSTRACT: The high-powered alternating current (AC) light-emitting diode (LED) (AC-LED), featuring low cost, high energy utilization efficiency, and long service life, will become a new economic growth point in the field of semiconductor lighting. However, flicker of AC-LED in the AC cycles is not healthy for human eyes, and therefore need to be restrained. Herein we report an innovation of persistent “phosphor-in-glass” (PiG) for the remote-type AC-LED, whose afterglow can be efficiently activated by the blue light. It is experimentally demonstrated that the afterglow decay of PiG in the microsecond range can partly compensate the AC time gap. Moreover, the substitution of inorganic glass for organic resins or silicones as the encapsulants would bring out several technological benefits to AC-LED, such as good heat-dissipation, low glare, and excellent physical/chemical stability.

KEYWORDS: optical materials, WLED, phosphor-in-glass, persistent luminescence, flicker effect



1. INTRODUCTION

As one of the most active fields of research, high-powered white light-emitting diode (w-LED) lighting is currently undergoing

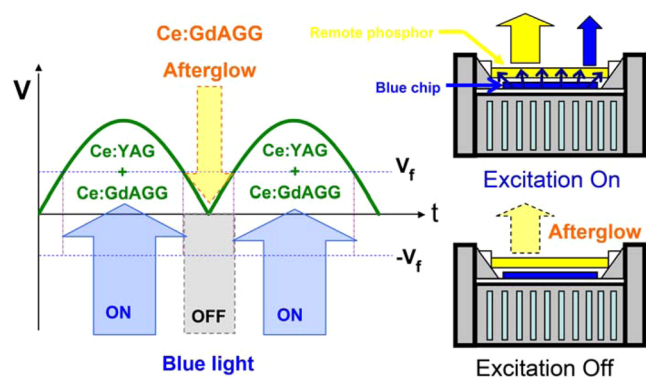


Figure 1. Proposed scheme of applying persistent $\text{Ce}^{3+}:\text{GdAGG}$ PiG to AC-LED device with a bridge circuit design (this design is commercially used by Seoul Semiconductor Co., Ltd., making AC negative half cycle also works).

rapid development, and will gradually replace traditional incandescent and fluorescent lamps owing to the merits of energy savings, fast switching, robustness, and environmental friendliness.^{1–5} However, the price of state-of-the-art w-LED lamps is still too high, which becomes the biggest obstacle restricting the popularity of w-LED lighting. There are two key factors responsible for such high price. First, the w-LED

products are commonly driven by the direct current (DC), which means the extra electro-components, including current/voltage rectifiers (or switching power supplies) and constant current sources are essential to convert the 110 V/220 V alternating current (AC) to DC and limit the current/voltage delivered to w-LEDs.^{6–8} Second, in the conventional w-LED packaging procedure, the organic resin or silicone embedded with phosphors are directly coated on the chips, causing the encapsulants age easily and turn yellow due to the accumulated heat emitted from the chips, thus the thermal management, e.g., the additional heat sink, is absolutely necessary.^{9–12} As a matter of fact, the applied AC-DC conversion and heat-dissipation systems totally account for 30%–50% of the LED production costs. Conceivably, searching for new techniques is urgent to realize “price-efficacy” trade-off for w-LED.

AC-LED, namely, a LED device driven directly by the city power, has received constant industrial interests since its first application as Christmas tree lighting.¹³ Recently, AC-LED products (Acrich Series) manufactured by Seoul Semiconductor Co., Ltd. have been widely applied in indoor and outdoor lightings, showing advantages of not only lower price (thanking to the reduced redundant electronic components), but also higher energy utilization efficiency, more compacted volume, and longer service life than their DC-LED counterparts.¹⁴ However, AC-LED cannot emit immediately until the voltage across the circuit is higher than its turn-on voltage (V_F),

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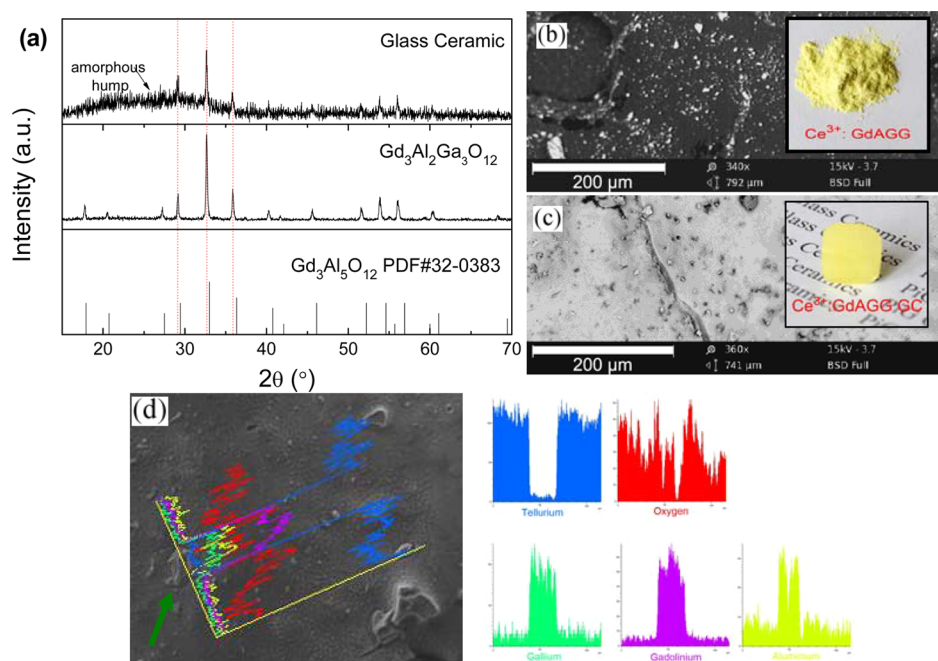


Figure 2. (a) XRD patterns, (b, c) SEM images of the GdAGG powder and the fabricated PiG containing 10% GdAGG; insets in (b, c) show photographs of the corresponding samples. (d) EDS line scan curves showing Te, Ga, Gd, Al, and O element profiles across the PiG sample.

which indicates a time gap of ~ 5 – 20 ms (a value dependent on AC frequency and the AC circuit design) is unavoidable in every AC cycle.⁶ This flickering effect is not sensitive to human eyes (the duration of vision is 0.2–0.4 s), but causes visual fatigue after long period of eye use. One may solve this problem by connecting a small capacitor in series to the AC-LED circuit; unfortunately, the service life of a capacitor is limited to ~ 20 000 h.⁶ It seems more promising to adopt a strategy of utilizing the afterglow luminescence of the persistent phosphors (e.g., $\text{Eu}^{2+}, \text{Mn}^{2+}:\text{SrSi}_2\text{O}_2\text{N}_2$, $\text{Eu}^{2+}, \text{Y}^{3+}:\text{SrAl}_2\text{O}_4$) to compensate the time gap, as proposed by Liu, Chen et al.^{6–8} However, most persistent phosphors developed so far can only be effectively activated by the UV light,^{15–18} whereas the emission wavelength of the commercial LED chip is located in the blue region. On the basis of that knowledge, we paid attention to one kind of the Ga-doped garnet-structured persistent phosphors ($\text{RE}_3\text{Al}_2\text{Ga}_3\text{O}_{12}$, RE = Y, Gd, Lu) developed first by Ueda et al. (ceramic) and then our lab (powder),^{19–22} wherein Ga plays a key role engineering the host bandgap, allowing electrons' delocalization to conduction band under the blue-light excitation, and thus facilitating electrons' storage at the traps to achieve afterglow luminescence. It is expected that such blue-light-activated persistent phosphors would fulfill the requirements in AC-LED application.

Phosphor-in-glass (PiG), namely cosintering phosphors and inorganic glass at a low-melting temperature (< 1000 °C), has shown its superiority as encapsulant over phosphor-in-silicone (PiS) in terms of its outstanding physical/chemical performance and good thermal conductivity deriving from the glass.^{9,23,24} More importantly, PiG is known for its excellent thermal stability, which can solve the serious issues of luminous degradation and color shift causing by the yellowing and carbonizing of organic resin in PiS under long-term heat radiation.^{25–28} Unlike the conventional encapsulating form that PiS directly coats on the chip, PiG is commonly designed packaging away from the chip (remote-type), which raises several important technological benefits including (1) less heat

suffered by the phosphors; (2) improved light extraction efficiency by $\sim 15\%$; and (3) low glare and uniform light distribution.^{29–31} Most recently, a white DC-LED based on the transparent remote-type $\text{Ce}^{3+}:\text{YAG}$ PiG phosphor has been developed in our lab,⁹ which exhibits a luminous efficacy (LE) of 124 lm/W, a correlated color temperature (CCT) of 6674 K and a color rendering index (CRI) of 70. Impressively, such white DC-LED device shows admirable heat-resistant and humidity-resistant performances.

In this work, we prepared a persistent phosphor $\text{Ce}^{3+}:\text{Gd}_3\text{Al}_2\text{Ga}_3\text{O}_{12}$ ($\text{Ce}^{3+}:\text{GdAGG}$), whose afterglow can be efficiently activated by the blue-light; and then fabricated a PiG embedded with $\text{Ce}^{3+}:\text{YAG}$ and $\text{Ce}^{3+}:\text{GdAGG}$ microcrystals. This PiG was used as a remote-type phosphor to construct the white AC-LED device. The proposed scheme that the persistent PiG applies to the AC-LED is depicted in Figure 1. When the AC voltage (V_{in}) is higher than V_{β} , blue-light emitted from the chip excites both the $\text{Ce}^{3+}:\text{YAG}$ and $\text{Ce}^{3+}:\text{GdAGG}$ phosphors. The yielded yellow light blends with the transmitted blue light, resulting in the white light. When $V_{\text{in}} < V_{\beta}$, the afterglow luminescence from $\text{Ce}^{3+}:\text{GdAGG}$ would partly compensate the AC time gap to reduce the flickering effect.

2. EXPERIMENTAL SECTION

$x\text{Ce}^{3+}:\text{Gd}_{3-x}\text{Al}_2\text{Ga}_3\text{O}_{12}$ ($x = 0.005, 0.01, 0.02, 0.04$) powders were synthesized via a high temperature solid-state route by sintering high-purity Gd_2O_3 , Al_2O_3 , Ga_2O_3 , and CeO_2 at 1400 °C for 4 h under 95% N_2 +5% H_2 reductive atmosphere. The obtained powders were ground for subsequent use.

As reported elsewhere,⁹ the initial precursor glass with compositions (mol %) of 10–30 B_2O_3 , 10–30 Sb_2O_3 , 5–30 TeO_2 , 10–25 ZnO , 5–20 Na_2O , 0–10 La_2O_3 , 0–10 BaO were prepared by using a conventional melt-quenching technique. This kind of glass, innovatively developed in our lab, is featured by its low-melting temperature to keep the phosphor powders intact. The obtained glass was further crushed and milled into powders and mixed well with the phosphors by using a ball grinder. The mixture was melted at 570 °C for 20 min with stirring to make phosphor powders distribute homogeneously as

much as possible among the glass matrix, and subsequently quenched in a copper mold to form the PiG composite. The obtained PiG was annealed at 260 °C for 5 h to relinquish the inner stress, and polished to be 1 mm thickness for optical characterization.

The crystalline phases in the samples were identified by X-ray diffraction (XRD, DMAX2500, Rigaku) measurement using Cu K_{α} radiation source. The microstructures of the samples were studied using a scanning electron microscope (SEM, JSM-6700F, JEOL) equipped with an energy-dispersive X-ray spectroscopy (EDS) system. All the steady-state, transient-state, persistent luminescence measurements were conducted on a spectrofluorometer (FLS920, Edinburgh Instruments). The quantum yield (QY) test was performed by using a barium sulfate coated integrating sphere that attached to FLS920. On the basis of this setup, QY is calculated by the following equation³²

$$\eta = \frac{L_{\text{sample}}}{E_{\text{reference}} - E_{\text{sample}}} \quad (1)$$

where η represents QY, L_{sample} the emission intensity, and $E_{\text{reference}}$ and E_{sample} the intensities of the excitation light not absorbed by the reference and the sample, respectively. LE, CCT, CRI, and chromaticity coordinate of the PiG-based w-LEDs were measured in an integrating sphere of 50 cm diameter, which was connected to a CCD detector with an optical fiber (HAAS-2000, Everfine Photo-E-Info Co. Ltd.). The percent flicker of AC-LED was measured by rapid recording photometer with a sampling rate of 20 kS/s (Photo-2000F, Everfine Photo-E-Info Co. Ltd.).

3. RESULTS AND DISCUSSION

XRD curve of the 0.02Ce³⁺:GdAGG powder shown in Figure 2a reveals the formation of pure GdAGG phase with no impurity. Compared to the standard XRD pattern of Gd₃Al₅O₁₂ (PDF #32–0383), the slight peak-shifting to lower angle arises from the substitution of the larger Ga³⁺ ions for the Al³⁺ ones. For the PiG sample, the GdAGG peaks are found superimposing on an amorphous hump originating from the glass matrix. SEM characterizations on a casually selected piece of PiG, exhibited in Figure 2b, c, demonstrate the size of the GdAGG particles in the glass matrix ranging from 0.5 to 20 μm , similar to those of the presynthesized GdAGG powders, indicating that the glass-melting procedure does not influence the GdAGG microstructure significantly. It is also found that the GdAGG particles distribute homogeneously in the glass matrix, with no agglomeration. The EDS line scan across the indicated particle, shown in Figure 2d, confirms its composition, i.e., Gd, Al, Ga, O. As a comparison, the glass component Te signal is only detected in the glass matrix. The O signal is originated from both the particle and the glass matrix.

The photoluminescence excitation (PLE) and photoluminescence (PL) spectra of the 0.02Ce³⁺:GdAGG powder and the fabricated 0.02Ce³⁺:GdAGG PiG are shown in Figure 3a, both exhibiting the typical Ce³⁺:4f \leftrightarrow 5d broadband excitations (peaked at 350 and 450 nm) and emissions (peaked at 550 nm).^{33,34} The excitation band peaked at 275 nm in the powder sample comes from the Gd³⁺: $^8S_{7/2} \rightarrow ^6I_J$ transition. For the PiG sample, the 275 nm band disappears and the 360 nm one weakens, probably caused by the absorption of glass matrix in the short-wavelength region (please refer to the transmittance spectrum of the glass in Figure S1 in the Supporting Information). The luminescent dynamical study and QY measurement in Figure S2 in the Supporting Information reveal that the luminescent property of Ce³⁺ keeps almost unchanged after incorporating Ce³⁺:GdAGG crystals into glass, implying that the glass-melting induced interdiffusion between the GdAGG crystals and the glass matrix is negligible,

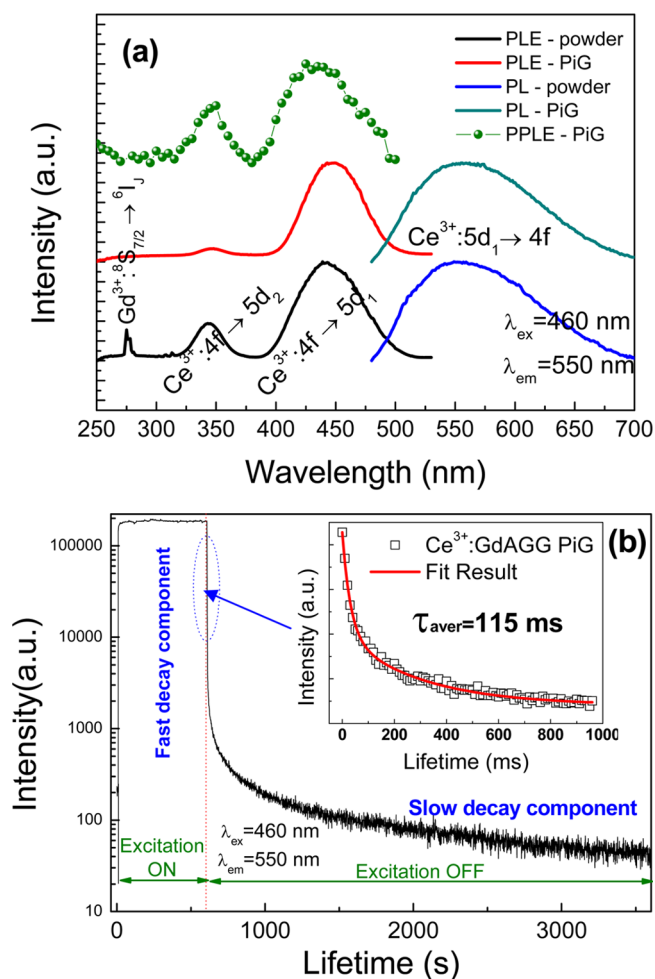


Figure 3. (a) PLE, PL, PPLE spectra of Ce³⁺:GdAGG powder and PiG. (b) Afterglow luminescence decay curve of Ce³⁺:GdAGG PiG excited at 460 nm and monitored at 550 nm; inset in b shows the initial 1 s decay at a step of 10 ms after the stoppage of excitation source. The creation of PPLE spectrum was calibrated for the spectral distribution of the excited light, assuming that the intensity of the afterglow is proportional to the flux of the excited light.

otherwise, the released Ce³⁺ ions would disperse into glass and exhibit totally different spectral features with a lower QY, since Ce³⁺:5d electrons are very sensitive to the local environment. To evaluate the persistent performance of the fabricated $x\text{Ce}^{3+}:\text{GdAGG}$ ($x = 0.5, 1.0, 2.0, 4.0\%$) PiGs, we recorded afterglow luminescent decays of the samples after ceasing the 460 nm excitation, as presented in Figure S3 in the Supporting Information, wherein the 2.0% Ce³⁺:GdAGG sample yields most intense initial afterglow brightness. Figure 3b reveals that the afterglow decay curve comprises a fast decay component and a slow one (lasting even for 1 h). In the fast decay process, the afterglow luminescence is bright, whereas in the slow decay process it becomes very weak. By carefully measuring the sample in the initial 1 s decay at a step of 10 ms, as presented in the inset of Figure 3b, the curve could be well-fitted with an average lifetime of 115 ms, indicating its potential to compensate the AC time gap. To reveal the effectiveness of the excitation wavelength for afterglow luminescence, the persistent photoluminescence excitation (PPLE) spectra were measured and created by plotting the afterglow intensities at 50 ms after the stoppage of excitation versus the excitation wavelength in Figure 3a, following the method proposed by

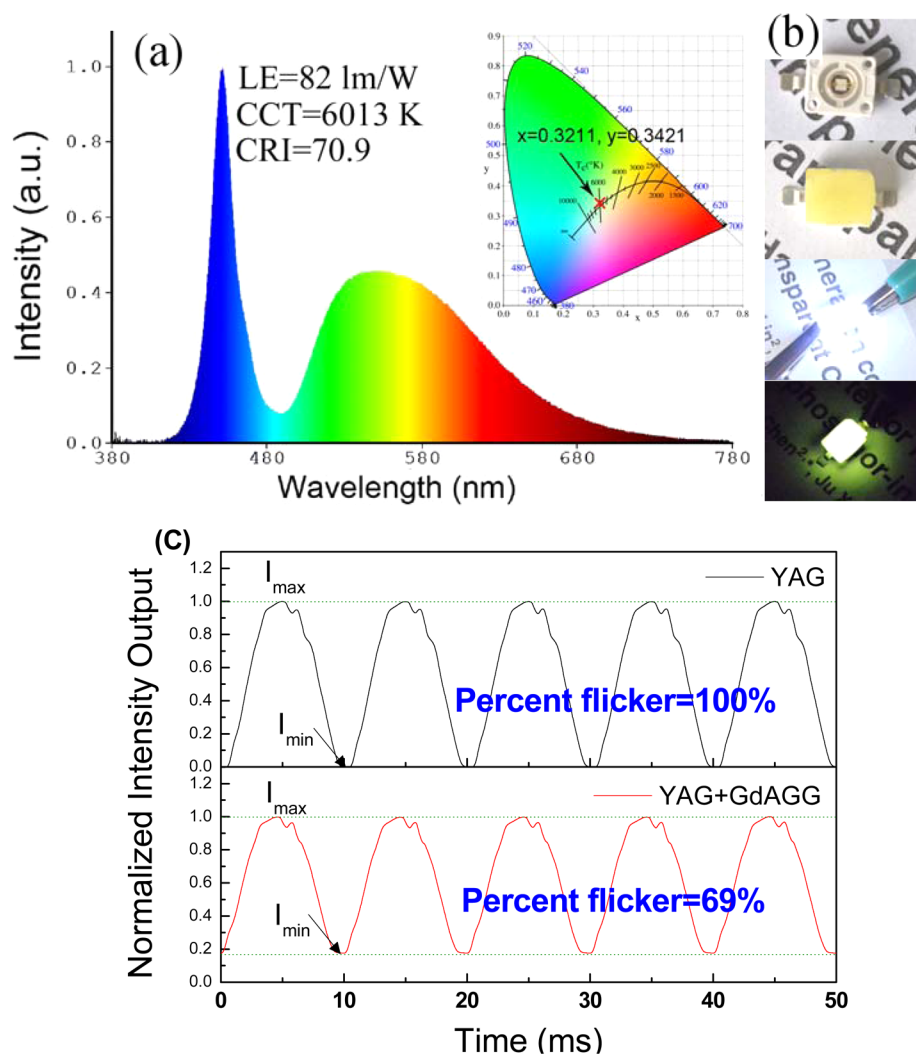


Figure 4. (a) PL spectrum and colorimetry parameters (including LE, CCT, CRI, and chromaticity coordination) of the remote-type AC-LED encapsulated by PiG at an operating current of 350 mA. (b) From top to bottom: photographs of the used blue chip with a groove-shaped sample holder, the assembled LED device covered with PiG on the top, the white luminescence when power is on, the yellowish green afterglow luminescence when power is off. (c) Luminescence intensity variations of the labeled LED devices driven in AC periodic cycles.

Pan.¹⁷ The PPLE spectrum resembles the PLE one, implying the intense afterglow luminescence should be achieved via efficient Ce^{3+} excitation in the blue region. As for the persistent luminescence mechanism (see Figure S4 in the Supporting Information), it is similar to that in $\text{Ce}^{3+}:\text{Y}_3\text{Al}_2\text{Ga}_3\text{O}_{12}$, which had been fully discussed in Ueda's and our previous paper.^{19,20,22} Briefly speaking, blue-light excites the Ce^{3+} 4f electrons to the $5d_1$ level, which can be prompted to the conduction band via photoionization and then captured by the electron traps. These traps should be the Ga-related antisite defects ($\text{Ga}_Y^{+\delta}$) or $\text{V}_{\text{Ga}}\text{-Ce}^{3+}\text{-V}_O$ defect clusters, and continuously distribute over a wide range of energies.^{22,35} The trapped electrons are easily released from the shallower traps, leading to the fast and intense afterglow decay, whereas those escaped from the deeper traps would result in the slow and weak afterglow decay.³⁶ The persistent behavior of PiG inherits from that of the embedded $\text{Ce}^{3+}:\text{GdAGG}$ particles.

It is proposed that the intense afterglow luminescence in the microsecond range can be utilized for compensating the AC time gap, whereas the weak one lasting longer can be a good signal indication in emergency when power is shut off without warning. As a proof-of-concept experiment, we fabricated a

remote-type AC-LED prototype device based on the PiG (1 mm in thickness) containing 3% commercial $\text{Ce}^{3+}:\text{YAG}$ and 10% homemade $\text{Ce}^{3+}:\text{GdAGG}$, and connected it to an AC bridge circuit (see Figure 4b and Figure S5 in the Supporting Information). As for this "2-phosphor" PiG, one may question the reabsorption of light from one phosphor by the other. We think this effect does exist, but is not very significant because the spectral overlap between excitation and emission in the $\text{Ce}^{3+}:\text{YAG}$ and $\text{Ce}^{3+}:\text{GdAGG}$ is small. The QY for this PiG is measured to be 89.4% in Figure S6 in the Supporting Information, only slightly lower than the previously reported 92% in the $\text{Ce}^{3+}:\text{YAG}$ "1-phosphor" PiG.⁹ The thus-fabricated AC-LED device results in a LE of 82 lm/W, a CCT of 6013K, a CRI of 70.9, and a chromaticity coordination of (0.3211, 0.3421), as shown in Figure 4a. It is reasonable that LE decreases from the reported 124 lm/W to the present 82 lm/W, ascribing to the low quantum efficiency of additional $\text{Ce}^{3+}:\text{GdAGG}$ and the reduced light extraction for semi-transparentity of this PiG. However, the value 82 lm/W is still acceptable for general lighting, whereas such PiG yields persistent stimulus intensity favorable for AC-LED application. As shown in Figure 4b, the AC-LED device emits bright white

light when the power is on, and yellowish green afterglow luminescence when the power is off. Although the persistent color is different from the illuminated white color in operation, such color variation in a ~ 5 – 20 ms time scale is conceivably not sensitive to human eyes. Thanking to the uniform plane light output, the remote-type AC-LED exhibits lower glare than the conventional LED. To evaluate the ability of the persistent PiG in reducing the flickering effect, the luminescence intensity variation of LED driven in AC periodic cycles is measured, as demonstrated in Figure 4c. As a comparison, the experimental result for an AC-LED based on the PiG containing merely $\text{Ce}^{3+}:\text{YAG}$ is also presented in Figure 4c. The percent flicker (δ), expressed as $\delta = 100\% \times (I_{\max} - I_{\min}) / (I_{\max} + I_{\min})$,³⁷ is adopted to evaluate the flickering effect, where I_{\max} and I_{\min} represent the maximal and minimal luminescent intensity. As expected, 100% percent flicker is found in the 3% YAG PiG, while only 69% one is found in the 3%YAG+10%GdAGG persistent PiG. It is expected this value could be further reduced by increasing the GdAGG contents (the GdAGG weight ratio dependent percent flicker is shown in Figure S7 in the Supporting Information); however, the glass melt will become too viscous to obtain the PiG. Given there are still 69% difference in intensity from the on state, great efforts should be employed to explore more efficient blue-light-activated persistent phosphors, or possibly some extra circuit design should be performed to modulate the input AC sine wave to square wave.

4. CONCLUSION

In summary, blue-light-activated $\text{Ce}^{3+}:\text{GdAGG}$ persistent PiGs were successfully developed via a PiG approach for high-powered remote-type white AC-LED application. The XRD and SEM results reveal the glass-melting process has low impact on the microstructure of GdAGG particles, whereas the spectroscopic analysis confirms the spectral features of Ce^{3+} are retained. The persistent luminescent behavior of PiG was carefully examined, showing a fast decay in a microsecond range, which is proposed beneficial to compensating the AC time gap. After coupling the persistent PiG with blue chip and connecting it into bridge AC circuit, the assembled remote-type AC-LED device yields a LE of 82 lm/W, a CCT of 6013K, a CRI of 70.9, and a chromaticity coordination of (0.3211, 0.3421); remarkably, the percent flicker is found to drop from 100 to 69%. Hopefully, the persistent PiG-based AC-LED would be a new-generation lighting source with cheaper price, more robustness, lower flicker, and higher color quality.

■ ASSOCIATED CONTENT

Supporting Information

The transmittance spectrum of glass (1 mm thickness) without introducing $\text{Ce}^{3+}:\text{GdAGG}$ phosphors (Figure S1). Photoluminescence decay curves and QY measurement of $\text{Ce}^{3+}:\text{GdAGG}$ powder and GC (Figure S2). The persistent decay curves of $x\text{Ce}^{3+}:\text{GdAGG}$ ($x = 0.5, 1.0, 2.0,$ and 4.0%) PiGs (Figure S3). Schematic illustration of persistent luminescence mechanism in $\text{Ce}^{3+}:\text{GdAGG}$ GC (Figure S4). Schematic illustration of the used AC bridge circuit (Figure S5). QY measurement for the PiG containing 3% $\text{Ce}^{3+}:\text{YAG}$ and 10% $\text{Ce}^{3+}:\text{GdAGG}$ (Figure S6). GdAGG weight ratio ($x = 0, 2, 5,$ and 10%) dependent percent flicker of the fabricated 3%YAG + $x\%$ GdAGG PiG based AC-LED devices (Figure S7). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

The authors declare no competing financial interest.

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